

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wenyan Shi, et al.

Serial No. 09/881,823

Group Art Unit: 1645

Filed: June 15, 2001

Examiner: Unknown

Title: CHIMERIC MONOCLONAL ANTIBODIES SPECIFIC TO S. MUTANS



TECH CENTER 1600/2900

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#5  
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\* \* \*

REVOCATION OF PRIOR POWER OF ATTORNEY AND  
NEW POWER OF ATTORNEY

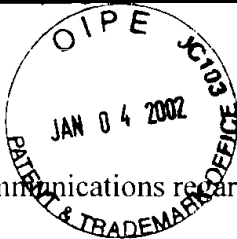
Hon. Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

Under 37 CFR § 1.36 the undersigned hereby revokes all previous and prior Powers of Attorney in the above-entitled matter and hereby executes the following new Power of Attorney.

NEW POWER OF ATTORNEY

Applicants, The Regents of the University of California and Washington Dental Service, jointly, hereby appoint Barry N. Young (Reg. No. 27,744); Timothy W. Lohse (Reg. No. 35,255); Steven R. Sprinkle (Reg. No. 40,825); Terrance A. Meador (Reg. No. 30,298); Ramsey R. Stewart (Reg. No. 38,322); June M. Learn (Reg. No. 31,238); John Oskorep (Reg. No. 41,234); Timothy N. Ellis (Reg. No. 41,734); William G. Goldman (Reg. No. 42,590); Sheila Kirschenbaum (Reg. No. 44,835); Travis L. Dodd (Reg. No. 42,491); Charles D. Gavrilovich, Jr. (Reg. No. 41,031); Gerald W. Maliszewski (Reg. No. 38,054); Hayward A. Verdun (Reg. No. 43,223); Armando Pastrana, Jr. (Reg. No. 44,997); Richard M. Goldman (Reg. No. 25,585); Lisa A. Haile (Reg. No. 38,347); Sal Lim (Reg. No. 45,706); Joseph R. Baker, Jr. (Reg. No. 40,900); Richard J. Imbra (Reg. No. 37,643); Mark L. Berrier (Reg. No. 35,066); Mark M. Takahashi (Reg. No. 38,631); James P. Cleary (Reg. No. 45,843); Karl A. Limbach (Reg. No. 18,689); Gerald T. Sekimura (Reg. No. 30,103); Nan Wu (Reg. No. 43,360); Eric N. Hoover (Reg. No. 37,355); George C. Limbach (Reg. No. 19,305); Ronald L. Yin (Reg. No. 27,607); Alan A. Limbach (Reg. No. 39,749); Edward B. Weller (Reg. No. 37,468); David Alberti (Reg. No. 43,465); Peter Leal (Reg. No. 24,226) as attorneys/agents with full power of substitution and revocation to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. A copy of the recorded assignment (recorded September 1, 2001 at Reel/Frame 011917/0245) is enclosed to satisfy the chain of title requirements under 37 CFR 3.73(b).



Attorney Document No.: 2101363-991100

Please address all future communications regarding this application to:

PATENT DEPARTMENT  
ATTN: Nan Wu  
GRAY CARY WARE & FREIDENRICH LLP  
139 Townsend Street, Suite 400  
San Francisco, CA 94107-1922

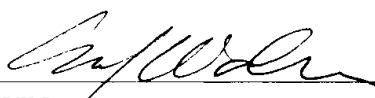
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Please direct all telephone calls to Nan Wu at (415) 836-2500.

Dated November 1, 2001

  
Name

Assistant Director

Title  
Office of Intellectual Property  
University of California

Company

Dated \_\_\_\_\_, 2001

Name

Title

Company

Attorney Docket No.: 2101363-991100

Please address all future communications regarding this application to:

PATENT DEPARTMENT  
ATTN: Nan Wu  
GRAY CARY WARE & FREIDENRICH LLP  
139 Townsend Street, Suite 400  
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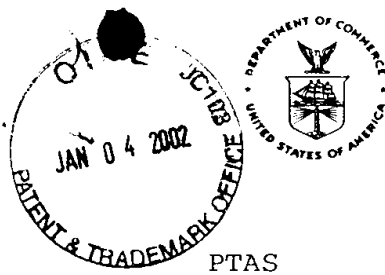
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Name

Title

Company



SEPTEMBER 01, 2001

MANATT, PHELPS & PHILLIPS, LLP  
DAVID J. MEYER  
11355 W. OLYMPIC BLVD.  
LOS ANGELES, CALIFORNIA 90064

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/15/2001

REEL/FRAME: 011917/0245  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SHI, WENYUAN

DOC DATE: 06/08/2001

ASSIGNOR:  
MORRISON, SHERIE L.

DOC DATE: 06/08/2001

ASSIGNOR:  
TRINH, KHAM (RYAN)

DOC DATE: 06/08/2001

ASSIGNOR:  
WIMS, LETITIA

DOC DATE: 06/08/2001

ASSIGNOR:  
CHEN, LI

DOC DATE: 06/08/2001

ASSIGNOR:  
ANDERSON, MAXWELL H.

DOC DATE: 06/14/2001

011917/0245 PAGE 2

ASSIGNEE:

REGENTS OF THE UNIVERSITY OF  
CALIFORNIA  
1111 FRANKLIN STREET, 12TH FLOOR  
OAKLAND, CALIFORNIA 94607

ASSIGNEE:

WASHINGTON DENTAL SERVICE  
9706-4TH AVENUE N.E.  
SEATTLE, WASHINGTON 98115

SERIAL NUMBER: 09881823  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

06-26-2001

Form PTO-1595  
(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Wenyuan Shi, Sherie L. Morrison,  
Kham (Ryan) Trinh, Letitia Wims,  
Li ChenAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: June 8, 2001

## 2. Name and address of receiving party(ies)

Name: Regents of the University  
of California  
Internal Address: \_\_\_\_\_Street Address: 1111 Franklin Street,  
12th Floor

City: Oakland State: CA Zip: 94607

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 8, 2001

A. Patent Application No.(s)

09881823

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David J. Meyer

Internal Address: \_\_\_\_\_

Manatt, Phelps &amp; Phillips, LLP

Street Address: \_\_\_\_\_

11355 W. Olympic Blvd.

City: Los Angeles State: CA Zip: 90064

## 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

## 8. Deposit account number:

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David J. Meyer

Name of Person Signing

Signature

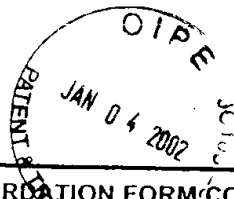
June 15, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 2

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Washington, D.C. 20231JCS73 U.S. PTO  
09/881823

06/15/01



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(Rev. 03/01)

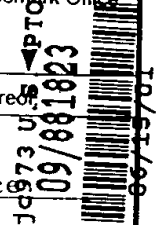
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1. Name of conveying party(ies):

Maxwell H. Anderson

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: June 14, 2001

2. Name and address of receiving party(ies)

Name: Washington Dental Service

Internal Address: \_\_\_\_\_

Street Address: 9706-4th Avenue N.E.

City: Seattle State: WA Zip: 98115

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 14,

A. Patent Application No.(s)

B. Patent No.(s)

2001

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David J. Meyer

Internal Address: \_\_\_\_\_

Manatt, Phelps & Phillips, LLP

Street Address: \_\_\_\_\_

11355 W. Olympic Blvd.

City: Los Angeles State: CA Zip: 90064

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David J. Meyer

Name of Person Signing

David J. Meyer  
Signature

June 15, 2001

Date

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06/20/2001 DESSEM1 00000033 09881823 40.00 DP 03 FC:581

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS

**Wenyuan Shi, Sherie L. Morrison, Ryan Trinh, Letitia Wims, Li Chen**

hereby sells, assigns and transfers to ASSIGNEE, the Regents of the University of California, a California corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, CA 94607-5200, including their successors, assigns and legal representatives of the ASSIGNEES, all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

**METHOD FOR THE TREATMENT AND PREVENTION OF DENTAL CARIES; and**

**Maxwell H. Anderson**

hereby sells, assigns and transfers to ASSIGNEE, Washington Dental Service, a Washington corporation, having its offices located at 9706-4<sup>th</sup> Avenue N.E., Seattle, WA 98115, including their successors, assigns and legal representatives of the ASSIGNEES of all his rights, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled

**METHOD FOR THE TREATMENT AND PREVENTION OF DENTAL CARIES**

and which is found in

  X   U.S. patent application filed herewith and listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in part, extension, conversion to provisional application, or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenant that ASSIGNEES will, upon their request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalent as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or their legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and Washington Dental Service, jointly.

executed this

6 day of June, 2001

8 day of June, 2001

8 day of June, 2001

8 day of June, 2001

8 day of June, 2001

14 day of June, 2001

Signature of Inventor(s)

Wenyuan Shi

Sherie L. Morrison

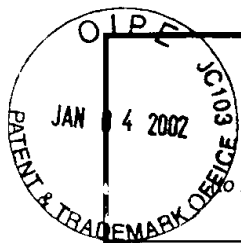
Ryan Trinh

Letitia Wims

Li Chen

Maxwell H. Anderson





# TRANSMITTAL FORM

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Total Number of Pages in This Submission

9

Application Number

09/881,823

Filing Date

June 15, 2001

First Named Inventor

Wenyuan Shi, et al.

Group Art Unit

1645

Examiner Name

Unknown

Attorney Docket Number

2101363-991100

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)

- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement

- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition to Convert to a Provisional Application
- ☒ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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Respectfully submitted,

GRAY CARY WAPE &amp; FREIDENRICH LLP

Dated: 11/14/01

By: Nan Wu

Reg. No 43,360  
Attorneys for Applicant(s)  
139 Townsend Street, Suite 400  
San Francisco, CA 94107-1922  
(415) 836-2513

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on this date: 11/14/01

Typed or printed name Ta-Tanisha L. Moore

Signature

Date

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